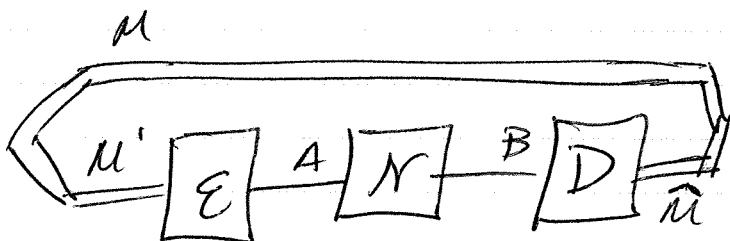


# Lecture 27

①

- now let's discuss unassisted classical communication.

- we begin w/ the oneshot setting



Initial state is

$$\bar{\Phi}_{MM'}^P = \sum_{m \in M} p(m) |m\rangle\langle m|_M \otimes |m\rangle\langle m|_{M'}$$

Final state is

$$W_{M\hat{M}}^P = \left( D_{B \rightarrow \hat{M}} \circ N_{A \rightarrow B} \circ E_{M' \rightarrow A} \right) \left( \bar{\Phi}_{MM'}^P \right)$$

Since encoding channel acts on classical register  $M'$ , we can define

$$E_{M' \rightarrow A}(|m\rangle\langle m|_{M'}) = P_A^m$$

(2)

Also, decoder  $D_{B \rightarrow \hat{u}}$  is a measurement channel & so can be written as

$$D_{B \rightarrow \hat{u}}(\tau_B) = \sum_{\hat{m} \in \mathcal{M}} \text{Tr} \left[ \Lambda_{\hat{m}}^B \tau_B \right] |\hat{m}\rangle \langle \hat{m}|_{\hat{u}}$$

$\Rightarrow$

$$W_{\hat{u} \hat{u}}^P = \sum_{m, \hat{m} \in \mathcal{M}} p(m) |m\rangle \langle m|_{\mathcal{M}} \otimes q(\hat{m}/m) |\hat{m}\rangle \langle \hat{m}|_{\hat{u}}$$

where

$$q(\hat{m}/m) = \text{Tr} \left[ \Lambda_{\hat{m}}^B \mathcal{N}_{A \rightarrow B}(p_A^m) \right]$$

Similar definitions as before:

$$P_{\text{err}}(m) = 1 - q(m/m)$$

$$P_{\text{err}}^* = \max_{m \in \mathcal{M}} P_{\text{err}}(m)$$

(3)

An  $(M, \epsilon)$  classical comm. protocol sends  $|M|$  messages such that  $p_{err}^* \leq \epsilon$ .

One-shot classical capacity:

$$C^\epsilon(N) = \sup_{(M, \epsilon, D)} \{ \log_2 |M| : p_{err}^* \leq \epsilon \}$$

goal is to establish an upper bound and a lower bound.

Let's start w/ upper bound

$$C^\epsilon(N) \leq \chi_H^\epsilon(N)$$

hypothesis testing  
Holevo information

$$\chi_H^\epsilon(N) = \sup_{\{p(x), p_A^x\}} \mathcal{I}_H^\epsilon(X; B)_\tau \quad \tau_{XB} = \sum_x p(x) |x\rangle\langle x|_X \otimes [Y(p^x)]_B$$

Consider that  $p_{err}^* \leq \epsilon$

$$\Rightarrow P_{avg} = \frac{1}{|M|} \sum_m p_{err}(m) \leq \epsilon$$

(4)

By the same reasoning used

for EA classical comm.

upper bound, we conclude  
that

$$\log_2 |M| \leq I_H^\epsilon(M; \tilde{M})_w$$

where  $w_{M\tilde{M}} = w_{M\tilde{M}}^P$  w/  $p$  uniform

From data processing under decoding  
channel

$$\Rightarrow I_H^\epsilon(M; \tilde{M})_w \leq I_H^\epsilon(M; B)_\Theta$$

where

$$\Theta_{MB} = \frac{1}{|M|} \sum_m |m\rangle\langle m|_M \otimes N_{A \rightarrow B}(P_A^m)$$

Now take sup over input ~~ensembles~~ ensembles  
to get  $I_H^\epsilon(M; B)_\Theta \leq \chi_H^\epsilon(X)$

(5)

$$\Rightarrow \log_2 |\mu| \leq \chi_{\#}^{\epsilon}(N)$$

Since this is an upper bound  
for every  $(|\mu|, \epsilon)$  protocol,  
we conclude that

$$C^{\epsilon}(N) \leq \chi_{\#}^{\epsilon}(N)$$

Note that

$$\chi_{\#}^{\epsilon}(N) = \sup_{P_{MA}} \inf_{\sigma_B} D_H^{\epsilon}(N_{A \rightarrow B}(P_{MA}) \| R_{A \rightarrow B}^{\sigma})$$

↑  
replacer channel

Thus upper bound involves a

comparison in HTRE of

ca state generated by the actual

channel & the most useless one.

(6)

By similar techniques as before,  
we get the bounds

$$C^\epsilon(N) \leq \frac{1}{1-\epsilon} (\chi(N) + h_2(\epsilon))$$

$$C^\epsilon(N) \leq \tilde{\chi}_d(N) + \frac{2}{d-1} \log_2 \left( \frac{1}{1-\epsilon} \right)$$

$$\forall d > 1$$

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Asymptotic capacity defined as

$$C(N) = \inf_{\epsilon \in (0,1)} \liminf_{n \rightarrow \infty} \frac{1}{n} C^\epsilon(N^{\otimes n})$$

Strong converse capacity

$$\tilde{C}(N) = \sup_{\epsilon \in (0,1)} \limsup_{n \rightarrow \infty} \frac{1}{n} C^\epsilon(N^{\otimes n})$$

(7)

- A channel is entanglement-breaking (EB)  
- if  $\mathcal{N}_{A \rightarrow B}(\rho_{AA'})$  is separable  
for every bipartite input  $\rho_{AA'}$ .

- Can show that  $\mathcal{N}_{A \rightarrow B}$  is  
EB iff Choi op  $\Gamma_{\mathcal{N}}^A$  is a  
separable operator.

- Can also show the following  
additivity relations:

$$\chi(\mathcal{N} \otimes \mathcal{M}) = \chi(\mathcal{N}) + \chi(\mathcal{M})$$

Also

$$\tilde{\chi}_\alpha(\mathcal{N} \otimes \mathcal{M}) = \tilde{\chi}_\alpha(\mathcal{N}) + \tilde{\chi}_\alpha(\mathcal{M}) \quad \text{where } \mathcal{N} \text{ is EB + } \mathcal{M} \text{ is arbitrary}$$

$\forall \alpha > 1$

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can now use def's + upper bounds  
to get

$$\frac{C^\epsilon(N^{\text{non}})}{n} \leq \frac{1}{1-\epsilon} \left[ \frac{\chi(N^{\text{non}})}{n} + \frac{h_2(\epsilon)}{n} \right]$$

define  $\chi^{\text{reg}}(N) = \lim_{n \rightarrow \infty} \frac{1}{n} \chi(N^{\text{non}})$

take  $\lim_{n \rightarrow \infty}$

$$\liminf_{n \rightarrow \infty} \frac{C^\epsilon(N^{\text{non}})}{n} \leq \frac{1}{1-\epsilon} \chi^{\text{reg}}(N)$$

now  $\epsilon \rightarrow 0$  to get

$$C(N) \leq \chi^{\text{reg}}(N)$$

for EB channels

$$\chi^{\text{reg}}(N) = \chi(N)$$



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for strong converse for EB channels:

$$\frac{C^\varepsilon(N^n)_{\text{EB}}}{n} \leq \frac{1}{n} \tilde{\chi}_\alpha(N^n) + \frac{\alpha}{n(\alpha-1)} \log\left(\frac{1}{1-\varepsilon}\right)$$

$$= \tilde{\chi}_\alpha(N) + "$$

take  $n \rightarrow \infty$  limit

$\Rightarrow$

$$\liminf_{n \rightarrow \infty} \frac{C^\varepsilon(N^n)_{\text{EB}}}{n} \leq \tilde{\chi}_\alpha(N)$$

$\forall \alpha > 1$

take  $\alpha \rightarrow 1$  limit

$\Rightarrow$

$$" \leq \chi(N)$$

$\Rightarrow$

$$\tilde{\chi}(N) \leq \chi(N)$$

Lower bound

(10)

$$C^\epsilon(N) \geq \bar{\chi}_H^{\epsilon/2-n}(N) - \log_2 \left( \frac{4\epsilon}{n^2} \right)$$

for  $\epsilon \in (0, 1)$  +  $n \in (0, \epsilon/2)$

where

$$\bar{\chi}_H^s(N) = \sup_{P_{XA}} D_H^\epsilon(\omega_{XB} \| \omega_X \otimes \omega_B)$$

where  $\omega_{XB} = N_{A \rightarrow B}(P_{XA})$

basic idea is to use position-based coding again

(11)

Idea is to allow Alice + Bob  
access to shared randomness  
before comm. begins:

$$\rho_{XB'} = \sum_x r(x) |x\rangle\langle x|_X \otimes |x\rangle\langle x|_{B'}$$

where  $r$  is a prob. dist.

Then they share the state

$$\rho_{XB'}$$

If Alice wants to send  
message  $m$ , she transmits the  
 $m$ th  $X$  system through a cq channel  
 $x \rightarrow \rho_A^x$  + then system  $A$   
through channel  $N_{A \rightarrow B}$

(12)

reduced state for Bob is then

$$\tau^m = \rho_{B'_1} \otimes \dots \otimes \rho_{B'_{m-1}} \otimes N_{A \rightarrow B}(\rho_{AB'_m}) \otimes \rho_{B'_{m+1}} \otimes \dots \otimes \rho_{B'_M}$$

where  $\rho_{B'_i} = \sum_x r(x) |x\rangle\langle x|$

and  $\rho_{AB'_m} = \sum_x r(x) |x\rangle\langle x|_{B'_m} \otimes \rho_A^x$

we are then in the same setting as before, w/ position-based coding

$\Rightarrow$   $\exists$  scheme such that

$$P_{\text{err}}(m) \leq \epsilon \quad \forall m \in \mathcal{M}'$$

w/

$$\log |\mathcal{M}'| = \overline{I}_H^{(B'; B)} \epsilon_3 - \log_2 \left( \frac{4\epsilon}{n^2} \right)$$

where  $\epsilon_{B'B} = N_{A \rightarrow B}(\rho_{AB'})$

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We now would like to remove  
the shared randomness.

First consider that

$$\overline{P_{err}} = \frac{1}{|M|} \sum_{m \in M} P_{err}(m) \leq \epsilon$$

Observe that  $\mathcal{N}_{A \rightarrow B}(\rho_{A'B'})$  &  
 $\rho_{B'} \otimes \mathcal{N}_{A \rightarrow B}(\rho_{A'})$  are cq states

$\Rightarrow$

$$\begin{aligned} & \text{Tr}[\Lambda_{BB'} \mathcal{N}_{A \rightarrow B}(\rho_{A'B'})] \\ &= \sum_x r(x) \text{Tr}[\Lambda_{B'B}(|x\rangle\langle x|_{B'} \otimes \rho_B^x)] \\ &= \sum_x r(x) \text{Tr}[M_B^x \rho_B^x] \end{aligned}$$

where  $M_B^x = \langle x|_{B'} \Lambda_{B'B} |x\rangle_{B'}$

(14)

of setting  $\bar{\rho}_B = \sum_x p(x) \rho_B^x$

$$\begin{aligned} \Rightarrow \text{Tr} \left[ \Lambda_{B'B} \left( \rho_{B'} \otimes N_{A \rightarrow B}(\rho_{A'}) \right) \right] \\ = \sum_x r(x) \text{Tr} \left[ \Lambda_{B'B} \left( |x\rangle\langle x|_{B'} \otimes \bar{\rho}_B \right) \right] \\ = \sum_x r(x) \text{Tr} \left[ M^x \bar{\rho}_B \right] \end{aligned}$$

$\Rightarrow$  optimal meas. op. for

$$P_H^{\varepsilon-m} \left( N_{A \rightarrow B}(\rho_{A'B'}) \parallel \rho_{B'} \otimes N_{A \rightarrow B}(\rho_{A'}) \right)$$

has the form

$$\Lambda_{B'B}^* = \sum_x |x\rangle\langle x|_{B'} \otimes M_B^x$$

Recall we implemented measurements  
in position-based coding as projectors

$$\Pi_{B'BR}$$

these now have the form

$$\Pi_{B'BR} = \sum_x |x\rangle\langle x|_{B'} \otimes \Pi_{BR}^x$$

(15)

where  $\Pi_{BR}^x = (U_{BR}^x)^\dagger (I_B \otimes |1\rangle\langle 1|_R) U_{BR}^x$

$$\dagger U_{BR}^x = \sqrt{I - M_B^x} \otimes I_R + \sqrt{M_B^x} \otimes (|1\rangle\langle 0|_R - |0\rangle\langle 1|_R)$$

$\Rightarrow$  measurement op's have the form

$$P_i = \sum_{x_1, \dots, x_{m-1}} |x\rangle\langle x|_{B'_1 \dots B'_{m-1}} \otimes P_i^{x_i}$$

where  $P_i^{x_i} = \Pi_{BR_i}^{x_i}$

can write state  $\tau^m$  as

$$\tau_{B'_1 \dots B'_{m-1} B}^m = \sum_{x_1, \dots, x_{m-1}} r(x_1) \dots r(x_{m-1}) |x\rangle\langle x| \otimes \rho_B^{x_m}$$

$\dagger$  can write error prob. as

(16)

$$P_{\text{err}}(m) =$$

$$1 - \text{Tr} [P_m \hat{P}_{m-1} \dots \hat{P}_1 \omega^m \hat{P}_1 \dots \hat{P}_{m-1} P_m]$$

$$= \sum_{x_1, \dots, x_{|M|}} r(x_1) \dots r(x_{|M|}) \times$$

$$\left[ 1 - \text{Tr} \left[ \Omega_m^{x_m} \left( \rho_B^{x_m} \otimes |0\rangle\langle 0|_{P_1^{|M|}} \right) \right] \right]$$

where

$$\Omega_m^{x_m} = \hat{P}_1^{x_1} \dots \hat{P}_{m-1}^{x_{m-1}} P_m^{x_m} \hat{P}_{m-1}^{x_{m-1}} \dots \hat{P}_1^{x_1}$$

Basic idea from here

write avg. error prob. as

$$\frac{1}{|M|} \sum_m P_{\text{err}}(m)$$

$$= \sum_{x_1, \dots, x_{|M|}} r(x_1) \dots r(x_{|M|}) \times$$

$$\frac{1}{|M|} \sum_m \left[ 1 - \text{Tr} \left[ \Omega_m^{x_m} \left( \rho_B^{x_m} \otimes |0\rangle\langle 0| \right) \right] \right]$$

⚡

where we switched sums  
"Shannon trick"



(17)

Since expected error  $\leq \epsilon$

$\Rightarrow$  existence of symbols (codewords)

$x_1, \dots, x_{|K|}$  such that

$$\frac{1}{|K|} \sum_m \left[ 1 - \text{Tr} \left[ \mathcal{L}_m^{x_m} \left( \rho_B^{x_m} \otimes |0\rangle\langle 0| \right) \right] \right] \leq \epsilon$$

Now throw away worst

half of codewords

to get  $\mathcal{M}$  such that

$$|\mathcal{M}| = \frac{|K|}{2}$$

$$1 - \text{Tr} \left[ \mathcal{L}_m^{x_m} \left( \rho_B^{x_m} \otimes |0\rangle\langle 0| \right) \right] \leq 2\epsilon$$

$$\forall m \in \mathcal{M}$$

This is the code to use

$\#$  of bits transmitted is as stated before.