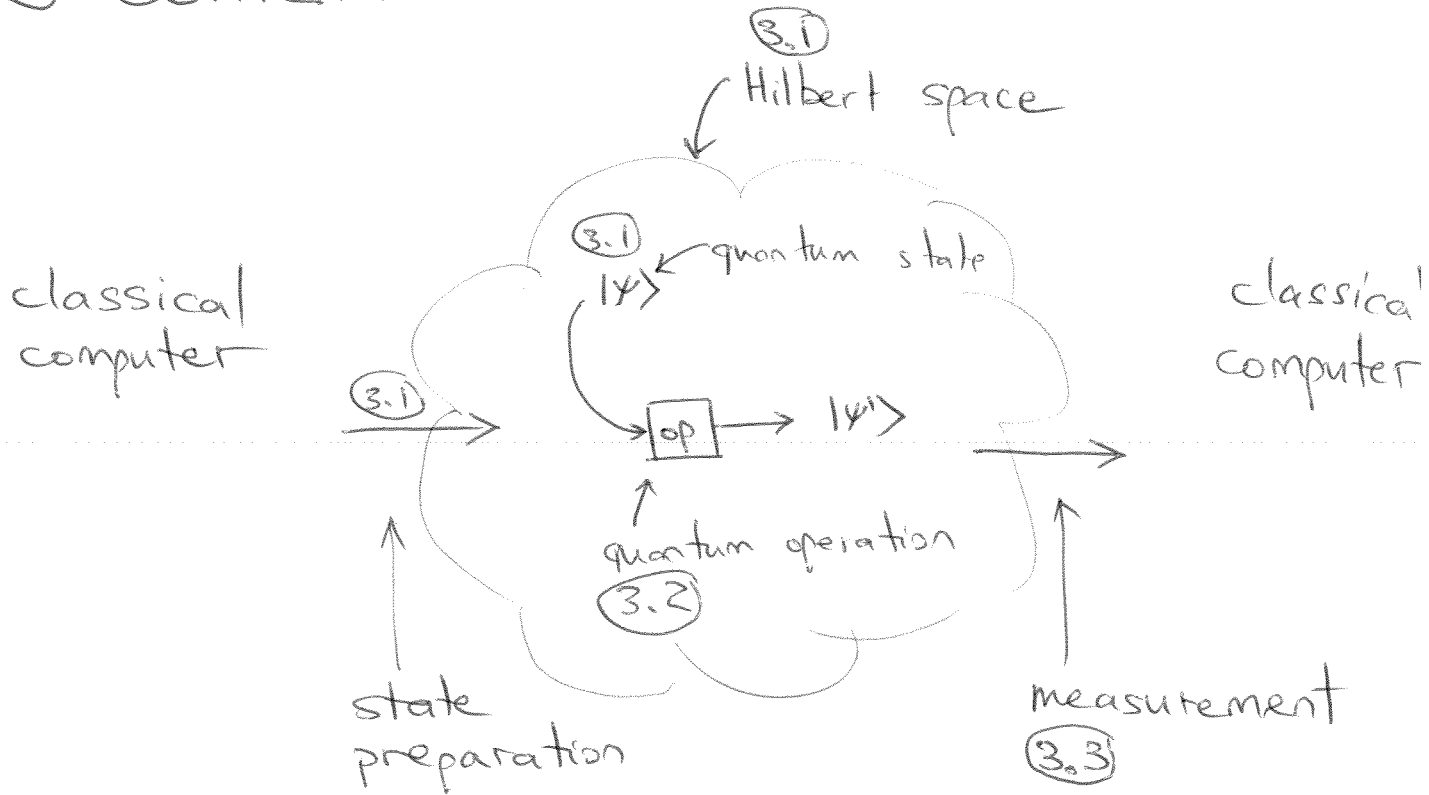


# 3. QUANTUM THEORY

## ① Context



# ① QUANTUM MECHANICS

---

→ need something complex & vector-like to explain physical experiments

→ originally used wavefunctions as the vector-like quantity

$$\left\{ \begin{array}{l} f: \mathbb{R} \rightarrow \mathbb{C}, x \in \mathbb{R} / \int_{-\infty}^{\infty} |f(x)|^2 dx = 1 \\ f: \mathbb{R} \rightarrow \mathbb{C} \end{array} \right\}$$

→ Matrix formulation for QM is just as good ~~than~~

↳ Linear Algebra

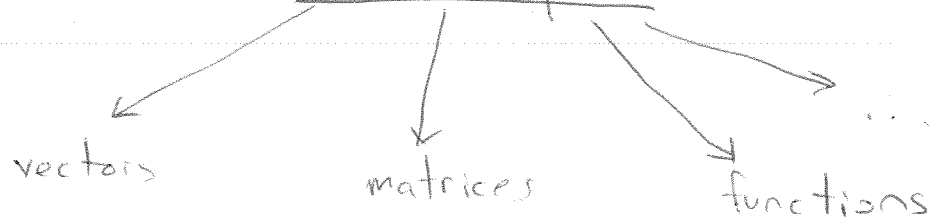
# ① Linear algebra: executive summary

⇒ linear

$$f(\alpha a + \beta b) = \alpha f(a) + \beta f(b)$$

→  $\alpha, \beta$  part of some field, ex:  $\mathbb{R}, \mathbb{C}$

→  $a, b$  part of a vector space  $V$



→  $f$  is some form of transformation

```
graph TD; E[transformation] --> F[matrix]; E --> G[superoperator]; E --> H[transf.];
```

$$f \in L(V, V)$$

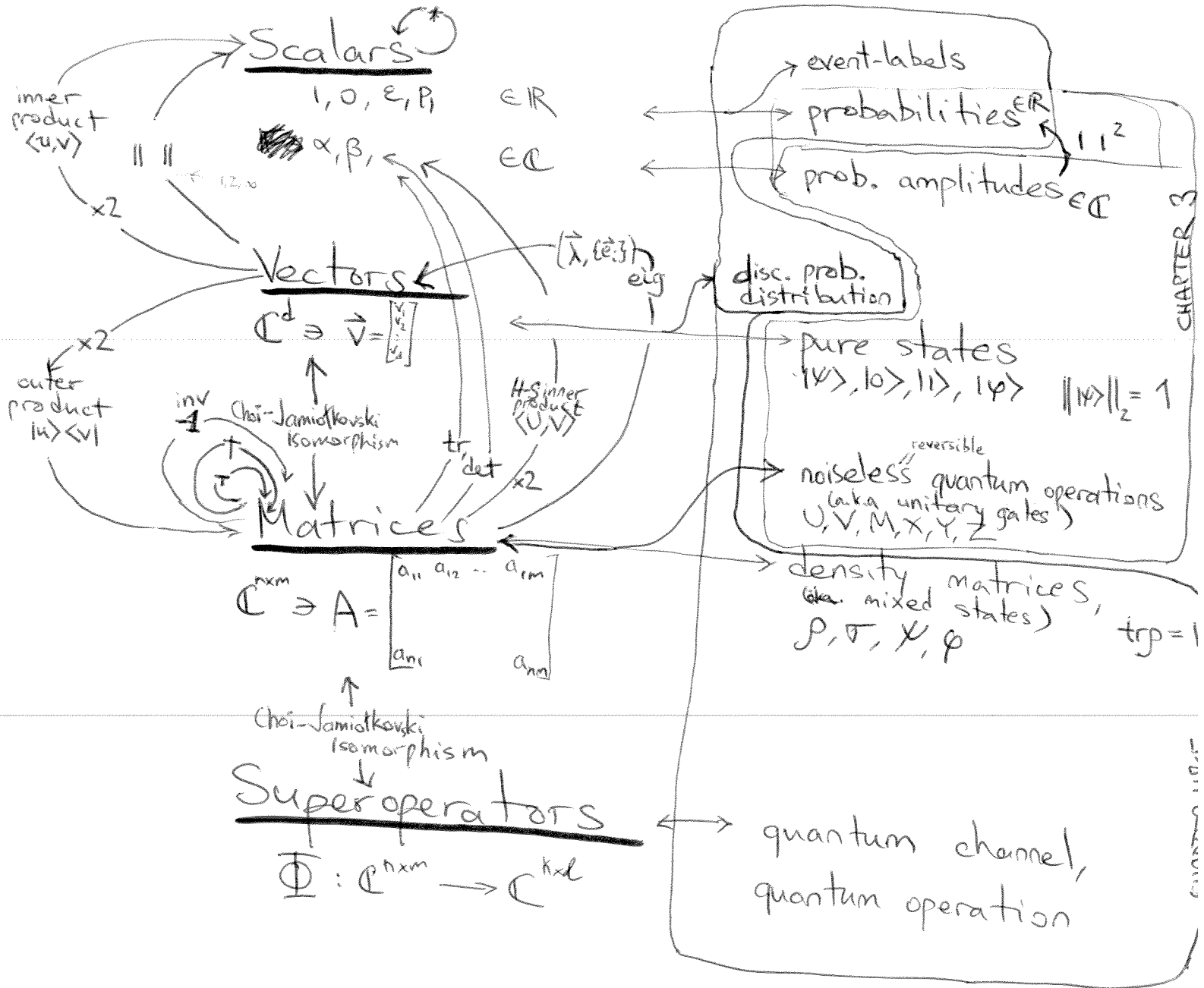
→ Basis for  $V$  is some set of  $\dim(V)$  orthonormal vectors  $\{\vec{e}_i\}_{i=1 \dots \dim(V)}$   
↳  $\langle e_i, e_j \rangle = \delta_{ij}$

→ Inner product i.e. dot-product, scalar-product

→ Killer app: to specify what  $f$  does  
need to specify  $\{f(e_i)\}_{i=1 \dots \dim V}$  (2)

# LINEAR ALGEBRA

# APPLICATIONS TO MATRIX QUANTUM INFO



# Who is who in LA

notation:  $T$  transpose  
 $\dagger$  hermitian transpose  
 $*$  complex conjugate

Matrices  $A \in \mathbb{C}^{n \times m}$   $\equiv$  Linear operators from  $\mathbb{C}^m$  to  $\mathbb{C}^n$   
standard basis

Real Matrices  
 $A = A^*$

Normal Matrices  
 $AA^\dagger = A^\dagger A$

Invertible matrices  
 $\exists A^{-1}$  s.t.  $A^{-1}A = I$

## Applications

measurement/observables 3.3

time evolution/  
 quantum operations 3.2  
noiseless

density matrices  
 and CPTP maps 4

Hermitian  
 $H$  s.t.  $H^\dagger = H$

Unitary  
 $U$  s.t.  $U^\dagger = U^{-1}$

Orthogonal  
 $O$  s.t.  $O^T = O^{-1}$

real eigenvalues  
 $\lambda_i \in \mathbb{R}$   
 Symmetric  
 $S$  s.t.  $S^T = S$

Positive semi-definite  
 $P = \sum_i \lambda_i T T_i$ ,  $\lambda_i \geq 0$

Positive definite  
 $P_d = \sum_i \lambda_i T T_i$ ,  $\lambda_i > 0$

Projection  $\pi^2 = \pi$   
(orthogonal)  
 $\pi_S |v\rangle = |v\rangle$  if  $|v\rangle \in S$   
 $\pi_S |v\rangle = 0$  if  $|v\rangle \in S^\perp$

# ① Definitions

① Quantum states live in Hilbert space  
a complex Euclidian vector space  
with an inner product.

$\mathcal{H}, \mathcal{H}^d, \alpha, \gamma, A$

② ~~Quantum~~ Pure quantum states are  $\mathcal{H}^A$  unit-length  
vectors in Hilbert space.

→ only dir matters, sometimes called

$|\psi\rangle, |\varphi\rangle$

③ For a d-dimensional Hilbert space  $\mathcal{H}^d$ ,  
define the standard basis.

$\{|0\rangle, |1\rangle, \dots, |d-1\rangle\}$

$\begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$

$\begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}$

$\begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix}$

④ For any vector  $|\psi\rangle \in \mathcal{H}$ , we define the  
Hermitian transpose as the combination  
of  $|\psi\rangle$  to be its conjugate & transpose

$$|\psi\rangle = \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} \xrightarrow{\dagger} \langle\psi| = [\alpha^* \quad \beta^* \quad \gamma^*]$$

# DIRAC NOTATION

tired of writing

## 1 Vectors

Let  $\alpha, \beta \in \mathbb{C}$  and  $\vec{v}, \vec{w}, \vec{a}, \vec{b}$  be vectors in  $\mathbb{C}^d$ , then the Dirac notation for them is as follows:

$$\vec{v} \equiv |v\rangle \quad (\text{called a "ket"})$$

The standard basis  $\mathcal{B}_Z = \{\vec{e}_0, \vec{e}_1, \dots, \vec{e}_{d-1}\}$ :

$$\begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix} \equiv |0\rangle, |1\rangle, \dots, |d-1\rangle$$

$$[1, 0, \dots, 0] \equiv \langle 0|$$

For a qubit,  $d = 2$  we have:

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \equiv |0\rangle, |1\rangle$$

$$[1, 0], [0, 1] \equiv \langle 0|, \langle 1|$$

$$\begin{bmatrix} \alpha \\ \beta \end{bmatrix} \equiv \alpha|0\rangle + \beta|1\rangle$$

Dagger ( $\dagger$ ) is transpose ( $T$ ) + complex conjugate ( $*$ ):

$$\begin{bmatrix} \alpha \\ \beta \end{bmatrix}^\dagger = [\alpha^*, \beta^*] \equiv \alpha^* \langle 0| + \beta^* \langle 1|$$

$$(\vec{v}^T)^* = (\vec{v}^\dagger)^T = \vec{v}^\dagger \equiv \langle v| \quad (\text{called a "bra"})$$

We use the following inner product  $(\cdot, \cdot)$ :

$$\sum_{i=0}^{d-1} a_i^* b_i \equiv (\vec{a}, \vec{b}) \equiv \langle a|b\rangle$$

$$(\vec{v}, \alpha\vec{a} + \beta\vec{b}) \equiv \alpha\vec{v}^\dagger\vec{a} + \beta\vec{v}^\dagger\vec{b} \equiv \alpha\langle v|a\rangle + \beta\langle v|b\rangle = \langle v|(\alpha|a\rangle + \beta|b\rangle)$$

$$(\alpha\vec{a} + \beta\vec{b}, \vec{w}) \equiv \alpha^*\vec{a}^\dagger\vec{w} + \beta^*\vec{b}^\dagger\vec{w} \equiv \alpha^*\langle a|w\rangle + \beta^*\langle b|w\rangle = \langle \alpha^*|a\rangle + \beta^*\langle b|w\rangle$$

$$|\vec{v}| = \sqrt{\vec{v}^\dagger\vec{v}} \equiv \sqrt{\langle v|v\rangle} = \|v\|$$

## 2 Different bases

Let  $\vec{v} = [v_0, v_1, \dots, v_{d-1}]^T$ , where  $v_i \in \mathbb{C}$  are the coefficients of  $\vec{v}$  with respect to the standard basis  $\mathcal{B}_Z = \{\vec{e}_i\}_{i=0, \dots, d-1}$ . We can calculate each coefficient using the inner product:

$$\underbrace{[0, \dots, 1, 0, \dots]}_i \begin{bmatrix} v_0 \\ v_1 \\ \vdots \\ v_{d-1} \end{bmatrix} = \vec{e}_i^\dagger \vec{v} \equiv v_i = \langle i|v\rangle$$

$$\vec{v} = [v_0, v_1, \dots, v_{d-1}]^T \equiv v_0|0\rangle + v_1|1\rangle + \dots + v_{d-1}|d-1\rangle$$

$$= \langle 0|v\rangle|0\rangle + \langle 1|v\rangle|1\rangle + \dots + \langle d-1|v\rangle|d-1\rangle$$

The last expression explicitly shows that the basis  $\mathcal{B}_Z = \{|i\rangle\}_{i=0, \dots, d-1}$  was used. This comes in handy when using a different choice of basis like the Hadamard basis for example  $\mathcal{B}_X = \{h_0, h_1\}$  when  $d = 2$ :

$$\frac{1}{\sqrt{2}}\vec{e}_0 + \frac{1}{\sqrt{2}}\vec{e}_1 = \tilde{h}_0 \equiv |+\rangle = \frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle$$

$$\frac{1}{\sqrt{2}}\vec{e}_0 - \frac{1}{\sqrt{2}}\vec{e}_1 = \tilde{h}_1 \equiv |-\rangle = \frac{1}{\sqrt{2}}|0\rangle - \frac{1}{\sqrt{2}}|1\rangle$$

To get the coefficients of  $\vec{v}$  with respect to the Hadamard basis:

$$\vec{v} = [v_+, v_-]^T_{\mathcal{B}_X} \equiv v_+|+\rangle + v_-|-\rangle$$

$$= \langle +|v\rangle|+\rangle + \langle -|v\rangle|-\rangle,$$

where we had to specify the basis on the left. In the bra-ket notation, the positive coefficient w.r.t.  $\mathcal{B}_X$  is  $v_+ \equiv \langle +|v\rangle$  and the negative coefficient w.r.t.  $\mathcal{B}_X$  is  $v_- \equiv \langle -|v\rangle$ .

Change of basis is simple in ket notation:

$$\vec{v} = [v_+, v_-]^T_{\mathcal{B}_X} \equiv \langle +|v\rangle|+\rangle + \langle -|v\rangle|-\rangle$$

$$= \langle +|([v_0|0\rangle + v_1|1\rangle)]|+\rangle + \langle -|([v_0|0\rangle + v_1|1\rangle)]|-\rangle$$

$$= (v_0\langle +|0\rangle + v_1\langle +|1\rangle)|+\rangle + (v_0\langle -|0\rangle + v_1\langle -|1\rangle)|-\rangle$$

$$= \underbrace{\frac{1}{\sqrt{2}}(v_0 + v_1)}_{v_+}|+\rangle + \underbrace{\frac{1}{\sqrt{2}}(v_0 - v_1)}_{v_-}|-\rangle,$$

where  $v_0, v_1$  were the coefficients of  $\vec{v}$  in the standard basis.

⑤ We use the standard inner product for  $\mathcal{H}$

$$\begin{aligned}\langle \vec{u}, \vec{v} \rangle &= \vec{u}^T \vec{v} \\ &= [u_0^* \ u_1^* \ \dots \ u_{d-1}^*] \begin{bmatrix} v_0 \\ v_1 \\ \vdots \\ v_{d-1} \end{bmatrix} \\ &= \sum_{i=0}^{d-1} u_i^* v_i = \langle u | v \rangle\end{aligned}$$

⑥ In analogy with the classical bit, we define a quantum bit or qubit as a unit vector in  $\mathcal{H}^2 \cong \mathbb{C}^2$ .

$$|x\rangle = \alpha |0\rangle + \beta |1\rangle = \begin{bmatrix} \alpha \\ \beta \end{bmatrix}$$

$\alpha \in \mathbb{R}$ , wlog  $\Leftrightarrow$  global phase not important  
 $\beta \in \mathbb{C}$ ,

$$|\alpha|^2 + |\beta|^2 = 1$$

$\rightarrow$  Observe that though there are 4 d.f. for vectors in  $\mathbb{C}^2$ , a qubit is actually 2 d.f.

$$4 \text{ d.f.} - \alpha \text{ real} - \|\cdot\|=1 = 2 \text{ d.f.}$$

$\rightarrow$  For qudit  $2d$  d.f.  $- \alpha \text{ real} - \|\cdot\|=1 = 2d - 2$  d.f.



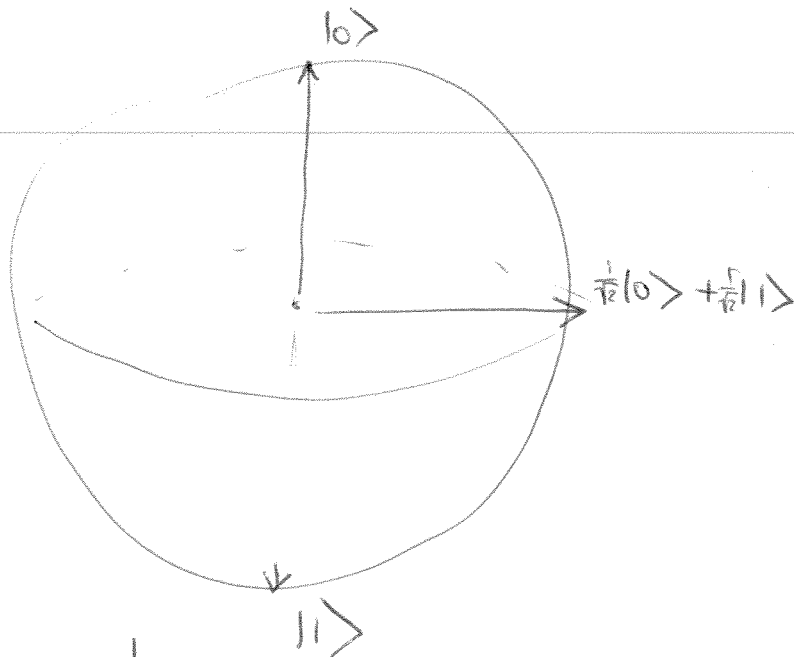
Observe that

$$\begin{aligned} |\psi\rangle &= \alpha|0\rangle + \beta|1\rangle \\ &= \alpha|0\rangle + |\beta|e^{i\phi}|1\rangle \\ &= \cos\left(\frac{\theta}{2}\right)|0\rangle + \sin\left(\frac{\theta}{2}\right)e^{i\phi}|1\rangle \end{aligned}$$

We can identify the two angles

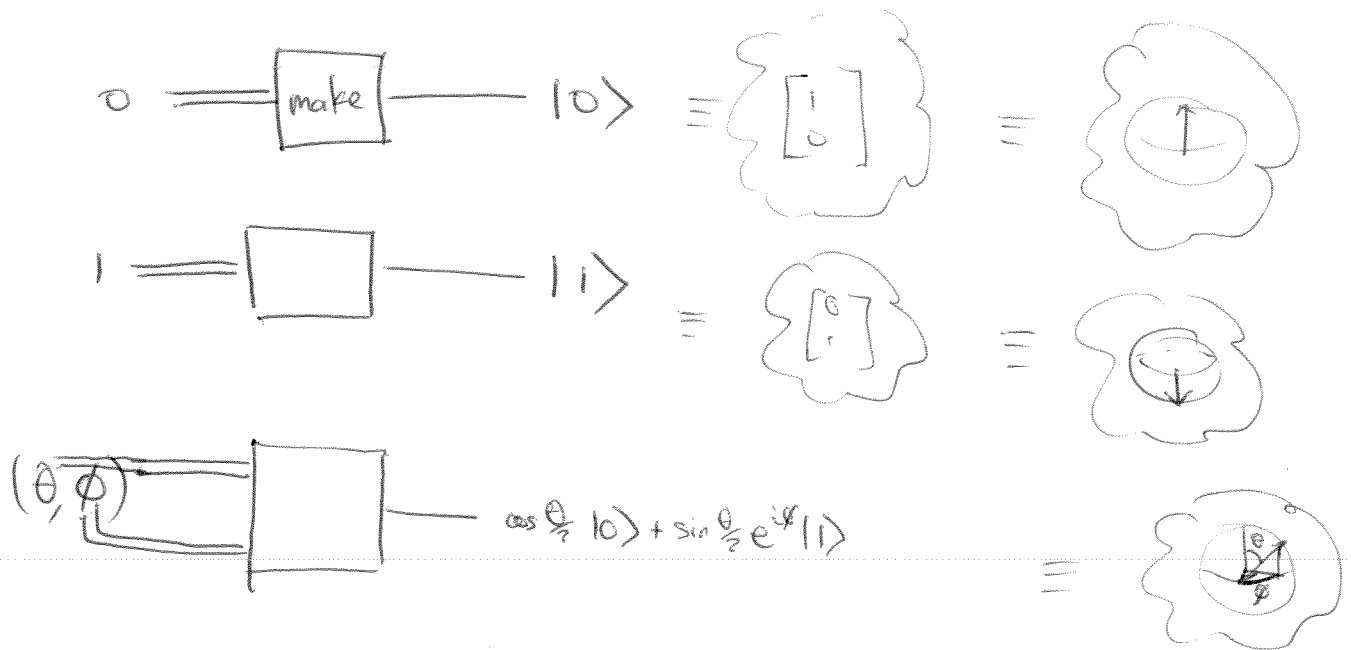
$$0 \leq \theta \leq \pi, \quad 0 \leq \phi \leq 2\pi$$

as "polar coordinates" on the Bloch sphere



note: |0>, |1>  
are orthogonal

# ① ~~Quantum~~ State preparation



ex: ~~light~~ light polarization

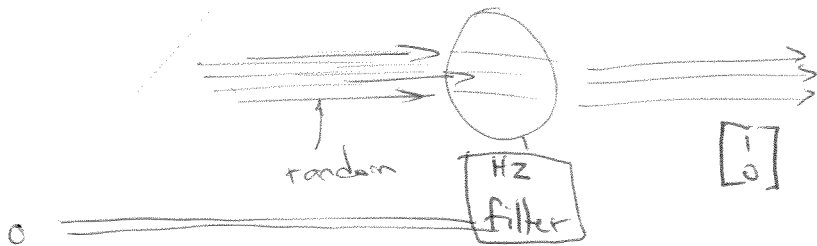
$$\vec{H}_Z = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \vec{V} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\vec{+45^\circ} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$\vec{-45^\circ} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$\vec{LC} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$$

$$\vec{RC} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$$

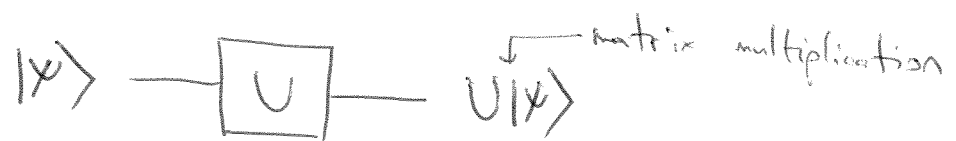


## 3.2 Quantum gates

→ noisy vs noiseless  
↓  
next class

⑦ Quantum operations on pure quantum states are represented as unitary operators.

$$U^\dagger U = \mathbb{1} = U U^\dagger$$



→ this ensures states remain unit length

$$\|\psi\rangle\| = 1$$

$$\rightarrow \|U\psi\rangle\| = 1$$

$$\langle U\psi, U\psi \rangle =$$

$$= \langle \psi | U^\dagger U | \psi \rangle$$

$$= \langle \psi | \mathbb{1} | \psi \rangle = 1$$

1 identity op.

⑧ Define the phase flip operator,  $Z$ ,

$$Z|0\rangle = |0\rangle$$

$$Z|1\rangle = -|1\rangle$$

and the not gate  $X$

$$X|0\rangle = |1\rangle$$

$$X|1\rangle = |0\rangle$$

~~and the Hadamard gate~~  $H|0\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$   
 $H|1\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$

→ If quantum states are vectors then quantum operations are matrices.

$$Z \begin{cases} \begin{bmatrix} & \\ & \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ \begin{bmatrix} & \\ & \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ -1 \end{bmatrix} \end{cases}$$

$$\begin{bmatrix} & \\ & \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ -1 \end{bmatrix}$$

in general

$$\begin{bmatrix} & \\ & \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

→ in a different basis the same operator will correspond to different matrix

$$\text{ex: } |+\rangle \triangleq \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$$

$$X|+\rangle = X\left(\frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle\right)$$

$$= |+\rangle$$

$$X|-\rangle = ($$

$$= |-\rangle$$

→  $|+\rangle, |-\rangle$  are eigenvectors of  $X$   
with eigenvalues  $+1, -1$ .

→ eigenvectors are orth.

~~§~~ Define the Hadamard basis  $\{|+\rangle, |-\rangle\}$   
to convert  $\Rightarrow$

std basis  $\xleftrightarrow{H}$  had<sub>2</sub> basis