

# Lecture 10

①  
4 MAR 2014

Last time discussed Deutsch-Jozsa  
+ Simon's algorithm

We will discuss the phase estimation  
algorithm today.

To begin with, recall from Deutsch-  
Jozsa that the final step is to extract/decode  
information about relative phases  
by performing Hadamard gates.

It can also be used to encode  
information into relative phases,  
as in

$$\begin{aligned} H|x\rangle &= \frac{1}{\sqrt{2}} \left[ |0\rangle + (-1)^x |1\rangle \right] \\ &= \frac{1}{\sqrt{2}} \sum_{y \in \{0,1\}} (-1)^{xy} |y\rangle \end{aligned}$$

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of course by applying  $H$  to

$$\frac{1}{\sqrt{2}} \left[ |0\rangle + (-1)^x |1\rangle \right], \text{ we get}$$

$|x\rangle$  & thus have decoded information in the relative phases.

As we discussed before, this holds for  $n$ -qubit states as well:

$$H^{\otimes n} |x^*\rangle = \frac{1}{\sqrt{2^n}} \sum_{y \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle$$

so that we encode into phases of

$$\begin{aligned} H^{\otimes n} \frac{1}{\sqrt{2^n}} \sum_{y \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle \\ = |x\rangle \end{aligned}$$

so that we decode.

However the phases  $(-1)^{x \cdot y}$  are of a very special form.

In general, a phase takes the form  $e^{2\pi i \omega}$  where  $\omega \in (0,1)$   
so Hadamards cannot get more general phases

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We need a generalization of the Hadamard gate to decode these more general phases. This is the quantum Fourier transform

Now suppose we are given a state

$$\frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i w y} |y\rangle$$

should understand that sum is over integer values, but  $|y\rangle$  is implicit for a binary representation of  $y$ .

Suppose that our goal is to estimate  $w$ . This is the phase estimation problem.

There is a well known quantum algorithm for this.

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Given that  $w \in (0, 1)$ , we can write  $w$  in binary as

$$w = 0.x_1x_2x_3 \dots$$

where

$$0.x_1x_2x_3 \dots = x_1 \cdot 2^{-1} + x_2 \cdot 2^{-2} + x_3 \cdot 2^{-3} + \dots$$

Similarly, powers of 2 multiples of  $w$ :

$$2^k w = x_1x_2 \dots x_k . x_{k+1}x_{k+2} \dots$$

Consider that

$$e^{2\pi i(2^k w)} = e^{2\pi i(x_1x_2 \dots x_k . x_{k+1}x_{k+2} \dots)}$$

$$\stackrel{(1)}{=} e^{2\pi i(x_1x_2 \dots x_k)} e^{2\pi i(0.x_{k+1}x_{k+2} \dots)}$$

$$\stackrel{(2)}{=} e^{2\pi i(0.x_{k+1}x_{k+2} \dots)}$$

$$(2) \quad b/c \quad e^{2\pi i l} = 1 \quad \text{for integer } l$$

$$(1) \quad b/c \quad x_1x_2 \dots x_k . x_{k+1}x_{k+2} \dots =$$

$$x_1x_2 \dots x_k + 0.x_{k+1}x_{k+2} \dots$$

## Elementary Phase Estimation

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Suppose for simplicity that

$\omega = 0.x_1$  & the input is  
a 1-qubit state.

Then the state is

$$\frac{1}{\sqrt{2}} \sum_{y \in \{0,1\}} e^{2\pi i (0.x_1)y} |y\rangle$$

$$= \frac{1}{\sqrt{2}} \sum_{y \in \{0,1\}} e^{2\pi i (\frac{x_1}{2})y} |y\rangle$$

$$= \frac{1}{\sqrt{2}} \sum_{y \in \{0,1\}} e^{\pi i x_1 y} |y\rangle$$

$$= \frac{1}{\sqrt{2}} \sum_{y \in \{0,1\}} (-1)^{x_1 y} |y\rangle$$

Then we can recover  $x_1$  by  
performing a Hadamard as before.

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Consider extending this identity to the case

$$\frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \omega y} |y\rangle$$

$$= \frac{1}{\sqrt{2^n}} \sum_{y^n \in \{0,1\}^n} e^{2\pi i \omega \left( \sum_{l=1}^n 2^{n-l} y_l \right)} |y_1, \dots, y_n\rangle$$

rewriting  $y = y_1 \dots y_n$  in binary

$$= \frac{1}{\sqrt{2^n}} \sum_{y^n \in \{0,1\}^n} \prod_{l=1}^n e^{2\pi i \omega 2^{n-l} y_l} |y_1, \dots, y_n\rangle$$

$$= \frac{1}{\sqrt{2^n}} \sum_{y_1 \in \{0,1\}} \dots \sum_{y_n \in \{0,1\}} e^{2\pi i \omega 2^{n-1} y_1} |y_1\rangle \dots e^{2\pi i \omega 2^0 y_n} |y_n\rangle$$

$$= \frac{1}{\sqrt{2^n}} \sum_{y_1 \in \{0,1\}} e^{2\pi i \omega 2^{n-1} y_1} |y_1\rangle \otimes \dots \otimes \sum_{y_n \in \{0,1\}} e^{2\pi i \omega 2^0 y_n} |y_n\rangle$$

(reasoning is that  $\sum_j a_j \beta_j |i\rangle |j\rangle = \sum_i a_i |i\rangle \left( \sum_j \beta_j |j\rangle \right)$ )

$$= \left( \frac{|0\rangle + e^{2\pi i (0.x_n x_{n+1} \dots)} |1\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|0\rangle + e^{2\pi i (0.x_{n-1} x_n x_{n+1} \dots)} |1\rangle}{\sqrt{2}} \right)$$

$$\otimes \dots \otimes \left( \frac{|0\rangle + e^{2\pi i (0.x_1 x_2 \dots)} |1\rangle}{\sqrt{2}} \right)$$

Now, for another example,

Suppose the state is on two qubits

$$\frac{1}{\sqrt{2^2}} \sum_{y=0}^{2^2-1} e^{2\pi i \omega y} |y\rangle$$

† suppose  $\omega = 0.x_1x_2$

With the above identity, we get

$$\frac{1}{\sqrt{2^2}} \sum_{y=0}^{2^2-1} e^{2\pi i (0.x_1x_2)y} |y\rangle = \left( \frac{|0\rangle + e^{2\pi i (0.x_2)} |1\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|0\rangle + e^{2\pi i (0.x_1x_2)} |1\rangle}{\sqrt{2}} \right)$$

Observe that we can get  $x_2$  by performing a Hadamard on the 1st qubit & measuring in the computational basis.

If  $x_2=0$ , then we just need to perform a Hadamard on the second qubit to get  $x_1$ .

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But if  $x_2 = 1$ , then we should first perform an operation to cancel out the  $x_2$  term in the second ~~expression~~ <sup>qubit</sup>.

The operation that will do it

$$\text{is } |0\rangle\langle 0| + e^{-2\pi i(0.01)} |1\rangle\langle 1|$$
$$= \begin{bmatrix} 1 & 0 \\ 0 & e^{-2\pi i(0.01)} \end{bmatrix}$$

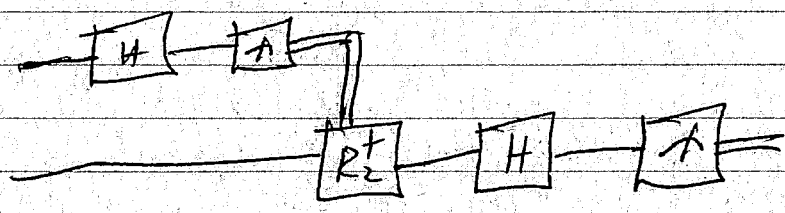
This is the inverse of a rotation operator that we will call  $R_2$ .

So the idea is that we could apply  $R_2^{-1}$  to the second qubit if  $x_2 = 1$  & then apply a Hadamard to the second to get  $x_1$  (measure in comp. basis)

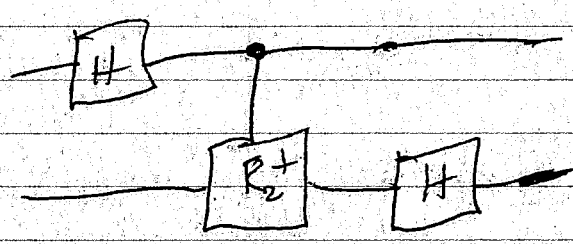


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So the circuit would look something like this



But we can implement this coherently as



(In general, we will need to maintain superpositions)

consider how this generalizes to three qubits:

$$\frac{1}{\sqrt{2^3}} \sum_{y=0}^{2^3-1} e^{2\pi i(0.x_1x_2x_3)} |y\rangle =$$

$$\left( \frac{|10\rangle + e^{2\pi i(0.x_3)} |11\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|10\rangle + e^{2\pi i(0.x_2x_3)} |11\rangle}{\sqrt{2}} \right) \otimes \left( \frac{|10\rangle + e^{2\pi i(0.x_1x_2x_3)} |11\rangle}{\sqrt{2}} \right)$$

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Define  $R_k = \begin{bmatrix} 1 & 0 \\ 0 & e^{\frac{2\pi i}{2^k}} \end{bmatrix}$

so that

$$R_k^{-1} : |0\rangle \rightarrow |0\rangle$$

$$R_k^{-1} : |1\rangle \rightarrow e^{-2\pi i(0.00\dots 01)} |1\rangle$$

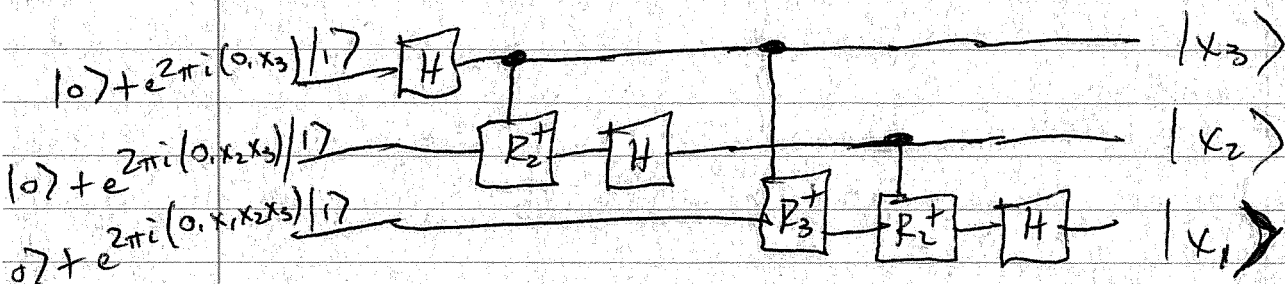
↑  
kth  
position

Then the idea is similar.

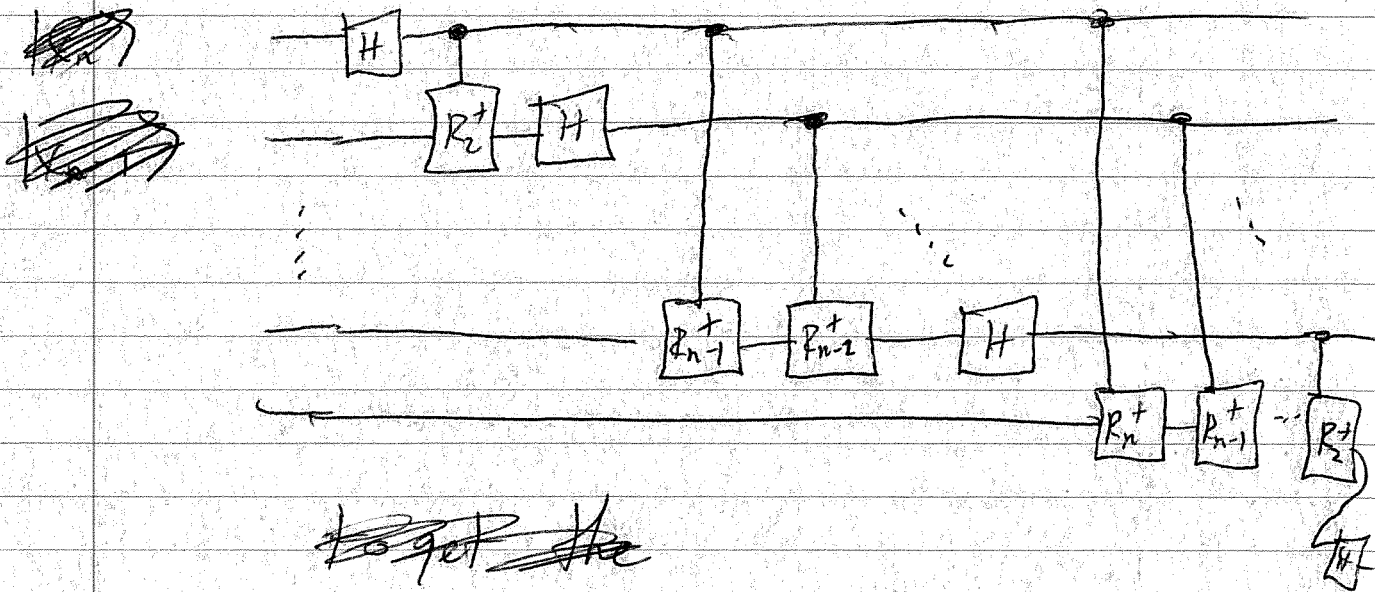
- 1) get  $x_3$  by Hadamard + measurement
- 2) conditionally rotate the second qubit to eliminate  $x_3$  + then get  $x_2$
- 3) conditionally rotate the third qubit to eliminate both  $x_2$  +  $x_3$  + then get  $x_1$

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Done coherently, the circuit looks like this



Should be clear now how to generalize this. ~~Further~~



~~To get the~~

This circuit actually implements the inverse Fourier transform. To get the QFT, run this circuit backwards

~~The main~~ observe that the number of gates required is  $O(n^2)$ , which is quite favorable.

The main application of the QFT is in eigenvalue estimation

Suppose we are given a quantum circuit to implement a unitary  $U$  of an eigenstate  $|\psi\rangle$  w/ eigenvalue

We would like to estimate  ~~$w$~~   $w$ .

We can then make controlled- $U$   
( $c-U$ )

which acts as

$$\begin{aligned} c-U |1\rangle |\psi\rangle &= |1\rangle U |\psi\rangle \\ &= |1\rangle e^{2\pi i w} |\psi\rangle \\ &= e^{2\pi i w} |1\rangle |\psi\rangle \end{aligned}$$

Now if we prepare the control qubit in a superposition, then

$$c-U \left( \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) |\psi\rangle = \left( \frac{|0\rangle + e^{2\pi i \omega} |1\rangle}{\sqrt{2}} \right) |\psi\rangle$$

But we could also do

$$cU^{2^j} \left( \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) |\psi\rangle =$$

$$\left( \frac{|0\rangle + e^{2\pi i z^j \omega} |1\rangle}{\sqrt{2}} \right) |\psi\rangle$$

In this way we can do

