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## 1 Overview

In the last lecture, we discuss continuous functional calculus, polar decomposition of compact bounded operators, unitary operators, exponential maps, trace-class operators, trace norm, Hilbert–Schmidt operators.

In this lecture, we discuss norm topology, weak operator topology, spectral and singular value decompositions for compact operators, duality of trace-class and bounded operators, effects, partial trace, quantum channels, Stinespring dilations, and operator-norm forms. We point readers to [HZ11, Att] for background on topics covered in this lecture.

## 2 Different notions of convergence

In this section, we discuss different notions of convergence for a sequence of bounded operators to another bounded operator.

**Definition 1** (Convergence with respect to uniform topology). Let  $\{T_n\}_n \subset \mathcal{L}(\mathcal{H})$  denote sequence of bounded operators and let  $T \in \mathcal{L}(\mathcal{H})$  be a bounded operator. Then the sequence  $\{T_n\}_n$  converges to  $T$  with respect to the uniform or norm topology if

$$\lim_{n \rightarrow \infty} \|T_n - T\| = 0. \quad (1)$$

**Definition 2** (Convergence with respect to weak operator topology). Let  $\{T_n\}_n \subset \mathcal{L}(\mathcal{H})$  denote sequence of bounded operators and let  $T \in \mathcal{L}(\mathcal{H})$  be a bounded operator. Then the sequence  $\{T_n\}_n$  converges to  $T$  with respect to the weak operator topology if for all  $\psi, \phi \in \mathcal{H}$

$$\lim_{n \rightarrow \infty} |\langle \phi | T_n \psi \rangle - \langle \phi | T \psi \rangle| = 0 \quad (2)$$

**Proposition 3.** *If a sequence  $\{T_j\}_j \subset \mathcal{L}(\mathcal{H})$  converges to  $T \in \mathcal{L}(\mathcal{H})$  in norm topology, then it also converges to  $T$  weakly.*

*Proof.* For all  $\psi, \phi \in \mathcal{H}$ , we have that

$$|\langle \phi | T_j \psi \rangle - \langle \phi | T \psi \rangle| = |\langle \phi | (T_j - T) \psi \rangle| \quad (3)$$

$$\leq \|\phi\| \|\psi\| \|T_j - T\|. \quad (4)$$

The equality follows from the linearity of operators. The inequality follows from Cauchy-Schwarz inequality and from the definition of the operator norm.

Therefore, if  $\lim_{j \rightarrow \infty} \|T_j - T\| = 0$ , then it follows that  $\lim_{j \rightarrow \infty} |\langle \phi | T_j \psi \rangle - \langle \phi | T \psi \rangle| = 0$ .  $\square$

We now show an example of a sequence of operators that converges to another operator weakly but does not converge in norm topology.

**Example 4.** Let  $\{\Pi_j\}_j$  be a sequence of orthogonal projections. Let  $\{\phi_j\}_{j=1}^\infty$  be an orthonormal basis. Then  $\Pi_j$  is projection onto  $\text{span}\{\phi_k : k \in \{1, \dots, j\}\}$ . Then consider that

$$|\langle \varphi | \Pi_j \psi \rangle - \langle \varphi | \psi \rangle| = |\langle \varphi | (I - \Pi_j) | \psi \rangle|. \quad (5)$$

We now write  $|\psi\rangle$  as  $|\psi\rangle = \sum_{j=1}^\infty \alpha_j |\phi_j\rangle$ . Then  $(I - \Pi_j)|\psi\rangle = \sum_{l=j+1}^\infty \alpha_l |\phi_l\rangle$ , so that

$$|\langle \varphi | (I - \Pi_j) | \psi \rangle| = |\langle \phi | \sum_{l=j+1}^\infty \alpha_l |\phi_l\rangle| \quad (6)$$

$$\leq \|\phi\| \sum_{l=j+1}^\infty |\alpha_l|^2. \quad (7)$$

Since  $\lim_{j \rightarrow \infty} \sum_{l=j+1}^\infty |\alpha_l|^2 = 0$ , it implies that  $\{\Pi_j\}_j$  converges to  $I$  in weak operator topology.

On the other hand, for a fixed  $j$ ,  $\|I - \Pi_j\| = 1$ , by picking some unit vector in the space spanned by  $I - \Pi_j$ . Therefore,

$$\lim_{j \rightarrow \infty} \|I - \Pi_j\| = 1, \quad (8)$$

which implies that  $\{\Pi_j\}_j$  does not converge to  $I$  in norm topology.

**Definition 5** (Equivalence of two bounded operators). For operators  $A, B \in \mathcal{L}(\mathcal{H})$ , if  $A = B$ , then it should be understood in the weak sense, i.e.,  $\langle \phi | A \psi \rangle = \langle \phi | B \psi \rangle, \forall \phi, \psi \in \mathcal{H}$ .

### 3 Duality of bounded operators and trace class operators

**Definition 6** (Linear functional). A linear mapping  $f$  from a complex vector space  $V$  to  $\mathbb{C}$  is called a linear functional.

**Definition 7** (Dual space of a vector space). Let  $V$  denote a normed vector space and let  $V^*$  denote the set of all continuous linear functionals. Then  $V^*$  is called the dual space of  $V$ .

A norm on  $V^*$  is defined as

$$\|f\| = \sup_{\|v\|=1} |f(v)|. \quad (9)$$

**Theorem 8** (Riesz representation theorem). Let  $f \in \mathcal{H}^*$ . Then there exists a unique vector  $\phi \in \mathcal{H}$  such that  $f(\psi) = \langle \phi | \psi \rangle$ . Moreover,  $\|f\| = \|\phi\|$ .

We now extend the discussion on the dual space of trace-class operators. Let  $S \in \mathcal{L}(\mathcal{H})$  and let  $T \in \mathcal{T}(\mathcal{H})$ . Then a linear functional  $f_S$  on  $\mathcal{T}(\mathcal{H})$  can be defined as

$$f_S(T) = \text{Tr}\{ST\}. \quad (10)$$

**Theorem 9.** *The mapping  $S \rightarrow f_S$  is a linear bijection from  $\mathcal{L}(\mathcal{H})$  to  $\mathcal{T}(\mathcal{H})^*$ , and  $\|S\| = \|f_S\|, \forall S \in \mathcal{L}(\mathcal{H})$ .*

Moreover, we can conclude the following:

1.  $S \geq 0 \Leftrightarrow f_S(T) \geq 0, \forall T \geq 0$ .
2.  $S = S^\dagger \Leftrightarrow f_S(T) \in \mathbb{R}, \forall T = T^\dagger$ .

## 4 Quantum Mechanics

### 4.1 Quantum states

A set  $\mathcal{S}(\mathcal{H})$  of quantum states is defined as

$$\mathcal{S}(\mathcal{H}) = \{\rho \in \mathcal{T}(\mathcal{H}) : \rho \geq 0, \text{Tr}\{\rho\} = 1\}. \quad (11)$$

**Theorem 10.** *A quantum state  $\rho \in \mathcal{S}(\mathcal{H})$  has a canonical convex decomposition of the form*

$$\rho = \sum_j \lambda_j P_j, \quad (12)$$

where  $\{\lambda_j\}_j$  is a finite or an infinite sequence of positive numbers, such that  $\sum_j \lambda_j = 1$ , and  $\{P_j\}_j$  is a set of orthogonal projections.

### 4.2 Effect

Effect is a mapping from the set of states  $\mathcal{S}(\mathcal{H})$  to the interval  $[0, 1]$ , i.e.,  $\rho \rightarrow E(\rho) \in [0, 1]$ .  $E(\rho)$  is the probability of a “yes” answer to “the recorded measurement outcome belongs to a subset  $X \subset \Omega$ .”

Basic assumption behind an effect is the following:

$$E(\lambda\rho_1 + (1 - \lambda)\rho_2) = \lambda E(\rho_1) + (1 - \lambda)E(\rho_2), \forall \rho_1, \rho_2 \in \mathcal{S}(\mathcal{H}), \lambda \in [0, 1], \quad (13)$$

**Proposition 11.** *Let  $E$  be an effect. Then there exists  $\hat{E} \in \mathcal{L}_S(\mathcal{H})$  such that  $E(\rho) = \text{Tr}[\hat{E}\rho], \forall \rho \in \mathcal{S}(\mathcal{H})$ , where  $0 \leq \hat{E} \leq I$ .*

### 4.3 Partial trace

**Definition 12** (Partial trace).  $\text{Tr}_A : \mathcal{T}(\mathcal{H}_A \otimes \mathcal{H}_B) \rightarrow \mathcal{T}(\mathcal{H}_B)$  is a linear mapping satisfying

$$\text{Tr}\{\text{Tr}_A\{T_{AB}\}E_B\} = \text{Tr}\{T_{AB}(I_A \otimes E_B)\}, \quad (14)$$

$\forall T_{AB} \in \mathcal{T}(\mathcal{H}_A \otimes \mathcal{H}_B)$  and  $E_B \in \mathcal{L}(\mathcal{H}_B)$ .

The partial trace can be calculated as follows. Let  $\{\psi_j\}_j$  and  $\{\phi_k\}_k$  denote orthonormal bases for  $\mathcal{H}_A$  and  $\mathcal{H}_B$ , respectively. Then

$$\mathrm{Tr}_A\{T\} = \sum_{j,k,n} \left[ \langle \psi_j |_A \otimes \langle \phi_k |_B T_{AB} |\psi_j\rangle_A \otimes |\phi_n\rangle_B \right] |\phi_k\rangle \langle \phi_n |_B. \quad (15)$$

#### 4.4 State Purification

Let  $\rho_A \in \mathcal{S}(\mathcal{H})$  denote a quantum state. Then a purification of  $\rho_A$  is a vector  $|\psi\rangle_{RA} \in \mathcal{H}_R \otimes \mathcal{H}_A$  such that  $\mathrm{Tr}_R\{|\psi\rangle\langle\psi|_{RA}\} = \rho_A$ .

A purification of  $\rho_A$  can be constructed from the spectral decomposition of  $\rho_A$ .

$$\rho = \sum_j \lambda_j |\psi_j\rangle \langle \psi_j |_A, \quad (16)$$

where  $\{|\psi_j\rangle\}_j$  is an orthonormal basis, as

$$|\psi\rangle_{RA} = \sum_j \sqrt{\lambda_j} |\psi_j\rangle_R |\psi_j\rangle_A. \quad (17)$$

#### 4.5 Quantum channels

**Definition 13** (Positivity of a linear map). A linear mapping  $\mathcal{N}_{A \rightarrow B} : \mathcal{T}(\mathcal{H}_A) \rightarrow \mathcal{T}(\mathcal{H}_B)$  is positive if  $\mathcal{N}(T) \geq 0, \forall T \geq 0, T \in \mathcal{T}(\mathcal{H})$ .

**Definition 14** (Complete positivity of a linear map). A linear map  $\mathcal{N}_{A \rightarrow B} : \mathcal{T}(\mathcal{H}_A) \rightarrow \mathcal{T}(\mathcal{H}_B)$  is completely positive if  $\mathrm{id}_R \otimes \mathcal{N}_{A \rightarrow B}$  is positive for all finite-dimensional  $\mathcal{H}_R$ .

**Definition 15** (Quantum channel). A linear map  $\mathcal{N}_{A \rightarrow B} : \mathcal{T}(\mathcal{H}_A) \rightarrow \mathcal{T}(\mathcal{H}_B)$  is a quantum channel if it is completely positive and trace preserving.

**Definition 16** (Adjoint of a linear map). Let  $\mathcal{N}_{A \rightarrow B} : \mathcal{T}(\mathcal{H}_A) \rightarrow \mathcal{T}(\mathcal{H}_B)$  be a linear map. The adjoint  $\mathcal{N}^\dagger : \mathcal{L}(\mathcal{H}_B) \rightarrow \mathcal{L}(\mathcal{H}_A)$  of a linear map  $\mathcal{N}$  is a unique linear map satisfying the following set of equations:

$$\mathrm{Tr}\{\mathcal{N}(T)E\} = \mathrm{Tr}\{T\mathcal{N}^\dagger(E)\}, \quad (18)$$

$\forall T \in \mathcal{T}(\mathcal{H})$  and  $E \in \mathcal{L}(\mathcal{H})$ .

##### 4.5.1 Stinespring dilation

**Definition 17.** Let  $\mathcal{H}_A, \mathcal{H}_B$  and  $\mathcal{H}_E$  be Hilbert spaces, and let  $\mathcal{N} : \mathcal{T}(\mathcal{H}_A) \rightarrow \mathcal{T}(\mathcal{H}_B)$  be a quantum channel. An isometric extension or Stinespring dilation  $V \in \mathcal{L}(\mathcal{H}_A) \rightarrow \mathcal{L}(\mathcal{H}_B \otimes \mathcal{H}_E)$  of the channel  $\mathcal{N}$  is a linear isometry such that

$$\mathcal{N}(X_A) = \mathrm{Tr}_E[V X_A V^\dagger], \quad (19)$$

for all  $X_A \in \mathcal{T}(\mathcal{H}_A)$ .

### 4.5.2 Operator-sum form

**Proposition 18.** *A map  $\mathcal{N} : \mathcal{T}(\mathcal{H}_A) \rightarrow \mathcal{T}(\mathcal{H}_B)$  is a quantum channel if and only if there exists a sequence of bounded operators  $\{A_k\}_k$  such that*

$$\mathcal{N}(T) = \sum_k A_k T A_k^\dagger, \quad (20)$$

$$\sum_k A_k^\dagger A_k = I, \forall T \in \mathcal{T}(\mathcal{H}_A).$$

## References

- [Att] Stephane Attal. Lectures in quantum noise theory. <http://math.univ-lyon1.fr/~attal/chapters.html>.
- [HZ11] Teiko Heinosaari and Mario Ziman. *The Mathematical Language of Quantum Theory: From Uncertainty to Entanglement*. Cambridge University Press, 2011. <https://www.cambridge.org/core/books/mathematical-language-of-quantum-theory/D8AAEF727B99D7AB098F9162C6D55FC8>.